

02-11-02

PTO/SB/05 (03-01)

Class 363  
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Approved for use through 10/31/2002. OMB 0651-0032  
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UTILITY  
PATENT APPLICATION  
TRANSMITTAL

Attorney Docket No. 37125/0110/31374

First Inventor Girish R. Kamath

Title Autotransformer-Based System And Method Of Current  
Harmonics Reduction In A Circuit

Express Mail No. EL474164118US

(Only for new nonprovisional applications under  
37 CFR 1.53(b))

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent  
application contents.

1. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
2. ☒ Specification [Total Pages 41]  
(preferred arrangement set forth below)  
☒ Descriptive title of the invention  
☐ Cross Reference to Related Applications  
☐ Statement Regarding Fed sponsored R & D  
☐ Reference to sequence listing, a table,  
or a computer program listing appendix  
☒ Background of the Invention  
☒ Brief Summary of the Invention  
☒ Brief Description of the Drawings (if filed)  
☒ Detailed Description  
☒ Claim(s)  
☒ Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 12]
4. ☒ Oath or Declaration [Total Pages 3]  
☐ Newly executed (original or copy)  
☐ Unexecuted  
☒ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)  
i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).

## ADDRESS TO:

Assistant Commissioner for Patents  
BOX PATENT APPLICATION  
Washington, D.C. 20231

5. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
a. ☐ Computer Readable Form (CRF)  
b. Specification Sequence Listing on:  
i. ☐ CD-ROM or CD-R (2 copies); or  
ii. ☐ paper  
c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

- ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☒ Information Disclosure ☒ Copies of IDS Citations  
Statement (IDS)/PTO-1449
11. ☒ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
13. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
14. ☐ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent
15. ☒ Certificate of Express Mail
16. ☐ Other: \_\_\_\_\_

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,  
or in an Application Data Sheet under 37 CFR 1.76:☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No. 09/818,068

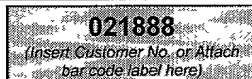
Prior application information:

Examiner Jeffrey L. Sterrett

Group Art Unit 2838

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is  
supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby  
incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted  
application parts.

## 18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Labelor ☒ Correspondence address below

Name	Caroline G. Chicoine	Registration No. (Attorney/Agent)	38,198
Address	Thompson Coburn LLP One Firstar Plaza, Suite 3500		
City	St. Louis	State	MO
Country	U.S.A.	Zip Code	63101
Telephone	314-552-6499	Fax	314-552-7499

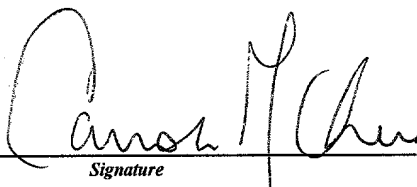
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1841842

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PATENT APPLICATION FEE DETERMINATION RECORD				Application or Docket Number 37125/0110/31374			
CLAIMS AS FILED - PART I			SMALL ENTITY		OR	OTHER THAN SMALL ENTITY	
	(Column 1)	(Column 2)					
FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE		RATE	FEE
BASIC FEE (37 CFR 1.16(a))					OR		\$740.00
TOTAL CLAIMS (37 CFR 1.16(c))	8 minus 20 =	0	x _____ =	0.00	OR	x \$18.00 =	0.00
INDEPENDENT CLAIMS (37 CFR 1.16(b))	4 minus 3 =	1	x _____ =	0.00	OR	x \$84.00 =	84.00
MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(d))			+ _____ =		OR	+ _____ =	
* If the difference in column 1 is less than zero, enter "0" in column 2			TOTAL	0.00	OR	TOTAL	824.00

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 20-0823 as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \$824.00.
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

  
Signature

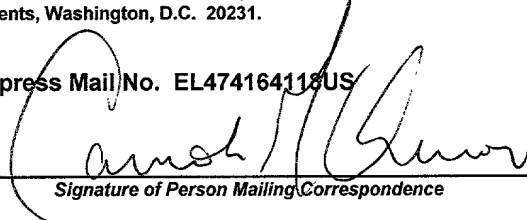
Dated: February 7, 2002

Caroline G. Chicoine, Reg. No. 38,198  
Thompson Coburn LLP  
One Firststar Plaza, Suite 3500  
St. Louis, Missouri 63101  
314-552-6  
FAX 314-552-7

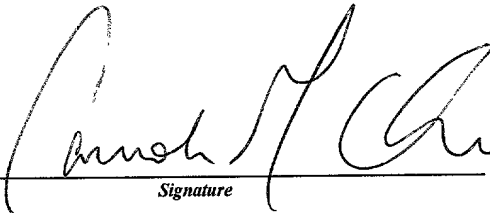
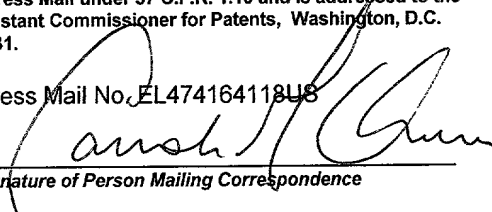
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Caroline G. Chicoine  
Typed or Printed Name of Person Mailing Correspondence

<b>EXPRESS MAIL CERTIFICATE OF MAILING (37 CFR 1.10)</b> <b>Express Mail No.</b>		Docket No. 37125/31374	
In Re Application Of: Girish R. Kamath			
Serial No. To be assigned	Filing Date To be assigned	Examiner To be assigned	Group Art Unit
Title: Autotransformer-Based System And Method of Current Harmonics Reduction In A Circuit			
<u>TO THE COMMISSIONER OF PATENTS AND TRADEMARKS</u>			
Transmitted herewith is:			
<div style="display: flex;"> <div style="width: 30px; text-align: center; font-size: 0.8em;"> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> </div> <div style="width: 970px;"> <p>Utility Patent Application Transmittal:</p> <p> <input checked="" type="checkbox"/> Patent Application </p> <p> Drawings: 12 Sheets    <input checked="" type="checkbox"/> Formal    <input type="checkbox"/> Informal; </p> <p> Power of Attorney:    <input checked="" type="checkbox"/> Executed    <input type="checkbox"/> Not executed </p> <p> Declaration:    <input checked="" type="checkbox"/> Executed    <input type="checkbox"/> Not executed </p> <p> Assignment with Recordation Form </p> <p> 37 CFR 3.73(b) Statement </p> <p> Information Disclosure Statement with References (4) </p> <p> NonPublication Request under 35 U.S.C. 122(b)(2)(B)(i) </p> <p> Postcard </p> <p> A check in the amount of                      is attached </p> </div> </div>			
<div style="display: flex; justify-content: space-between; align-items: center;"> <div style="text-align: center;">   <hr style="width: 300px; margin: 0 auto;"/> <p style="margin: 0;">Signature</p> </div> <div> <p>Dated: February 7, 2002</p> </div> </div>			
Caroline G. Chicoine, Reg. No. 38,198 Thompson Coburn LLP One Firstar Plaza, Suite 3500 St. Louis, Missouri 63101 314-552-6499 314-552-7499 FAX		<p style="font-size: 0.8em;"> I certify that the document and fee is being deposited on 2/7/02 with the U.S. Postal Service as Express Mail under 37 C.F.R. 1.10 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231. </p> <p>Express Mail No. EL474164118US</p> <div style="text-align: center;">   <hr style="width: 200px; margin: 0 auto;"/> <p style="margin: 0; font-size: 0.8em;">Signature of Person Mailing Correspondence</p> </div> <div style="text-align: center; margin-top: 10px;"> <p style="margin: 0; font-size: 0.8em;">Caroline G. Chicoine</p> <hr style="width: 200px; margin: 0 auto;"/> <p style="margin: 0; font-size: 0.8em;">Typed or Printed Name of Person Mailing Correspondence</p> </div>	
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